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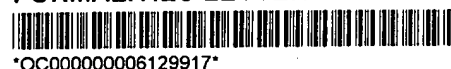
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,193	03/26/2001	Gilyong Y. Chung	9842-254-999

CONFIRMATION NO. 3973

24341  
Pennie & Edmonds, LLP  
3300 Hillview Avenue  
Palo Alto, CA 94304

FORMALITIES LETTER



\*OC000000006129917\*

Date Mailed: 05/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

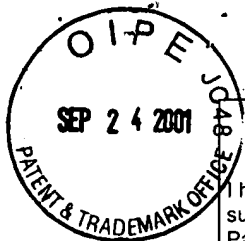
PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/04/2001 SSALEEKU 00000013 161150 09818193

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CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

9/19/01  
Date

Kathy Llanes  
Signature

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TECHNOLOGY CENTER 2800  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gilyong Chung  
Chin Che Tin  
John R. Williams  
Kyle McDonald  
Massimiliano Di Ventra  
Robert A. Weller  
Sokrates T. Pantelides  
Leonard C. Feldman

Group Art Unit: 2812

Examiner: Unknown

Atty. Dkt. No.: 2026.000900/RCF

Serial No.: 09/818,193

Filed: 03/26/2001

For: INCLUSION OF NITROGEN AT THE  
SILICON DIOXIDE-SILICON CARBIDE  
INTERFACE FOR PASSIVATION OF  
INTERFACE DEFECTS

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RESPONSE TO 37 C.F.R. § 1.53(F) NOTICE

**BOX MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

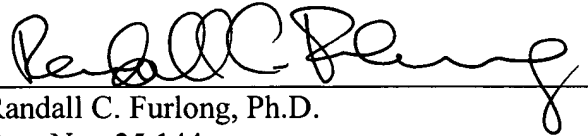
In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f),  
dated May 30, 2001, there are enclosed herewith:

- (a) The Declarations executed on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (b) The Power of Attorneys on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (c) The Declarations Claiming Small Entity Status executed on behalf of Vanderbilt University and Auburn University;
- (d) The Assignments and Assignment Cover Sheet on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (e) The Change of Correspondence Address;
- (f) A copy of Notice to File Missing Parts of Application-Filing Date Granted.
- (g) A Request for Extension of Time of two-months to and including September 30, 2001. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2026.000900.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall C. Furlong", written over a horizontal line.

Randall C. Furlong, Ph.D.  
Reg. No. 35,144

WILLIAMS, MORGAN & AMERSON  
7676 Hillmont, Suite 250  
Houston, Texas 77040  
(713) 934-4061

Attorney for Applicants

Date: September 19, 2001